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U.S. PATENT & TRADEMARK  
OFFICE  
REFUNDS BRANCH

**FACSIMILE TRANSMITTAL PAGE**

Date: 21 July 2003

To: Refunds Branch - Office of Finance

Company: United States Patent and Trademark Office

Recipient's fax: 703- 308-5077

Number of Pages, including cover: 5

From: Rodney M. Anderson

Re: Application S.N. 09/454,492, filed December 6, 1999, by Ballard.

Message: Request for Refund follows.

Sending Confirmation Copy: No

**CONFIDENTIALITY**

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#241 Reg for  
refund  
07-23-03

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Ballard

Serial No. 09/454,492

Refunds Branch

Filed: December 6, 1999

Office of Finance

For: Remote Image Capture with Centralized Processing and Storage

REQUEST FOR REFUND

Mail Stop 16

Director of the US Patent and Trademark Office

PO Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Applicants respectfully request a refund in the amount of \$465.00 charged against Deposit Account 01-1615.

On or about April 10, 2003, a charge in the amount of \$930.00 was made against Deposit Account 01-1615 in this application. This charge was made as a result of a Request for Extension of Time in this case.

However, the amount due for this Request for Extension of Time is properly only \$465.00, because Applicant claims, and continues to claim, Small Entity Status in this case. Copies of papers indicating this claim follow. As such, Applicant submits that the proper amount due for that Request for Extension of Time was \$465.00.

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Please credit Deposit Account 01-1615 in the amount of (\$465.00) to correct the overcharge.

Respectfully submitted,



Rodney M. Anderson

Registry No. 31,939

Attorney for Applicant

Anderson, Levine & Lintel, L.L.P.

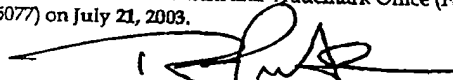
14785 Preston Road, Suite 650

Dallas, Texas 75254

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CERTIFICATE OF FACSIMILE TRANSMISSION  
37 C.F.R. 1.8

The undersigned hereby certifies that this correspondence is being facsimile transmitted to the Patent and Trademark Office (Fax Number 703-308-5077) on July 21, 2003.



Rodney M. Anderson  
Registry No. 31,939

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Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 465

## Complete if Known

Application Number 09/454,492  
Filing Date December 6, 1999  
First Named Inventor Ballard  
Examiner Name Weisberger  
Art Unit 3624  
Attorney Docket No.

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 01-1615  
Deposit Account Name Anderson, Levine & Lintel, L.L.P.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1603 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

465

## SUBMITTED BY

Name (Print/Type) Rodney M. Anderson Registration No. 31,939 Telephone 972.664.9554  
Signature [Signature] (Attorney/Agent) Date 8 Apr 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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PTO-103X  
(Rev. 6-99)FILING RECEIPT  
CORRECTED

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	PL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/454,492	12/06/99	2876	\$419.00	2028492-0002	11	13	5

J MICHAEL MARTINEZ DE ANDINO  
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RICHMOND VA 23219-4030

COPY

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Intellectual Property Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you receive a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) CLAUDIO R. BALLARD, LLOYD HARBOR, NY.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A DIV OF 09/081,012 05/19/98  
WHICH IS A CIP OF 08/917,761 08/27/97 PAT 5,910,988

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 01/07/00 \*\* SMALL ENTITY \*\*  
TITLE  
REMOTE IMAGE CAPTURE WITH CENTRALIZED PROCESSING AND STORAGE

PRELIMINARY CLASS: 235

MAR 10 2000

DATA ENTRY BY: JONES, KIMBERLY

TEAM: 08 DATE: 02/03/00

(See reverse for new important information)

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